



Please type or plus sign (+) inside this box →

#7 9/9/01  
Toray

PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

<b>Application Number</b>	09/707,738
<b>Filing Date</b>	November 6, 2000
<b>First Named Inventor</b>	Alessandro Sette
<b>Title</b>	Induction of Immune Response Against Desired Determinants
<b>Group Art Unit</b>	1645
<b>Examiner Name</b>	Not Assigned
<b>Attorney Docket Number</b>	018623006250US

I hereby appoint:

Practitioners at Customer Number

**OR**

Practitioner(s) named below:

20350

Place Customer  
Number Bar Code  
Label here

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

The above-mentioned Customer Number.

**OR**

Practitioners at Customer Number



<input type="checkbox"/> Firm or Individual Name				
Address				
Address				
City	State	ZIP		
Country				
Telephone	Fax			

I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name	Robert W. Chesnut
Signature	
Date	8-17-01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.  
Submit multiple forms if more than one signature is required, see below\*.

\*Total of one forms are submitted.

RECEIVED  
SEP 06 2001

OCT 4 2001  
SEP 04 2001  
PATENT & TRADEMARK OFFICE**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Alessandro Sette, Federico Gaeta, Howard M. Grey, John Sidney, Jeffery L. Alexander

Application No./Patent No.: 09/707,738 Filed/Issue Date: November 6, 2000

Entitled: Induction of Immune Response Against Desired Determinants

Epimmune Inc. a corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

- Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

8-17-01

Date

Robert W. Chesnut

Signature

Robert W. Chesnut  
Typed or printed name  
Executive Vice President  
Research & Development  
Title

RECEIVED  
SEP 06 2001  
TECH CENTER 1600/2900

**ASSIGNMENT OF PATENT APPLICATION**

**JOINT**

WHEREAS, Alessandro Sette of 5551 Linda Rosa Avenue, La Jolla, CA 92037, Federico Gaeta of 13 Gable Court, San Rafael, CA 94901, Howard M. Grey of 9066 La Jolla Street, La Jolla, CA 92037, John Sidney of 4218 Corte de la Siena, San Diego, CA 92130, and Jeffery L. Alexander of 4469 Ocean Valley Lane, San Diego, CA 92130, hereinafter referred to as "Assignors," is the inventor of the invention described and set forth in the below-identified application for United States Letters Patent:

**Title of Invention:** INDUCTION OF IMMUNE RESPONSE AGAINST  
DESIRED DETERMINANTS

**Filing Date:** November 6, 2000

**Application No.:** 09/707,738

WHEREAS, Epimmune Inc., a Delaware corporation of 5820 Nancy Ridge Drive, Suite 100, San Diego, CA 92121 hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

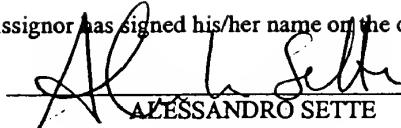
For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

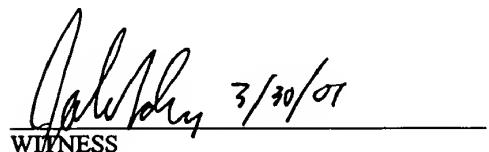
Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment  
Attorney Docket No.: 018623-006250US  
Page 2

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: 3/30/01

  
ALESSANDRO SETTE

  
WITNESS

  
WITNESS

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: \_\_\_\_\_

  
FEDERICO GAETA

WITNESS

WITNESS

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: \_\_\_\_\_

  
HOWARD M. GREY

WITNESS

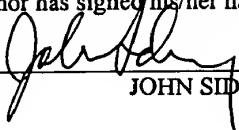
WITNESS

Assignment  
Attorney Docket No.: 018623-006250US  
Page 3

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: 3/30/01

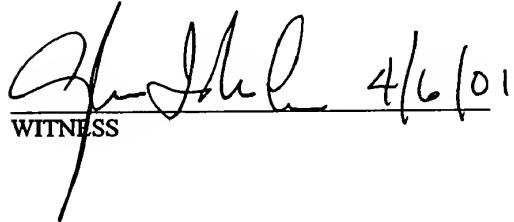
  
WITNESS

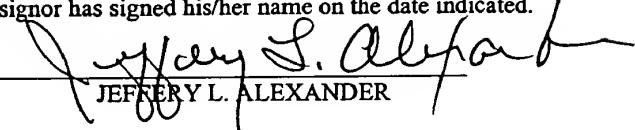
  
JOHN SIDNEY

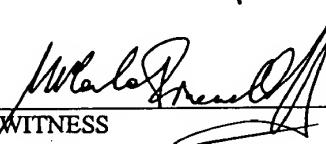
  
Denise Porter 3/30/01  
WITNESS

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: 4/6/2001

  
WITNESS

  
JEFFREY L. ALEXANDER

  
WITNESS  4-6-01

SF 1203767 v1

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, Alessandro Sette of 5551 Linda Rosa Avenue, La Jolla, CA 92037, Federico Gaeta of 13 Gable Court, San Rafael, CA 94901, Howard M. Grey of 9066 La Jolla Street, La Jolla, CA 92037, John Sidney of 4218 Corte de la Siena, San Diego, CA 92130, and Jeffery L. Alexander of 4469 Ocean Valley Lane, San Diego, CA 92130, hereinafter referred to as "Assignors," is the inventor of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **INDUCTION OF IMMUNE RESPONSE AGAINST DESIRED DETERMINANTS**

Filing Date: **November 6, 2000**

Application No.: **09/707,738**

WHEREAS, Epimmune Inc., a Delaware corporation of 5820 Nancy Ridge Drive, Suite 100, San Diego, CA 92121 hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment  
Attorney Docket No.: 018623-006250US  
Page 2

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: \_\_\_\_\_

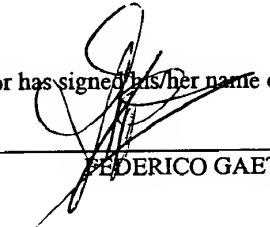
ALESSANDRO SETTE

WITNESS

WITNESS

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: 4/28/2001

  
FEDERICO GAETA

WITNESS

WITNESS

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: \_\_\_\_\_

HOWARD M. GREY

WITNESS

WITNESS

Assignment  
Attorney Docket No.: 018623-006250US  
Page 3

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: \_\_\_\_\_

JOHN SIDNEY

WITNESS

WITNESS

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: \_\_\_\_\_

JEFFERY L. ALEXANDER

WITNESS

WITNESS

SF 1203767 v1

**ASSIGNMENT OF PATENT APPLICATION**

**JOINT**

WHEREAS, Alessandro Sette of 5551 Linda Rosa Avenue, La Jolla, CA 92037, Federico Gaeta of 13 Gable Court, San Rafael, CA 94901, Howard M. Grey of 9066 La Jolla Street, La Jolla, CA 92037, John Sidney of 4218 Corte de la Siena, San Diego, CA 92130, and Jeffery L. Alexander of 4469 Ocean Valley Lane, San Diego, CA 92130, hereinafter referred to as "Assignors," is the inventor of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **INDUCTION OF IMMUNE RESPONSE AGAINST  
DESIRED DETERMINANTS**

Filing Date: **November 6, 2000**

Application No.: **09/707,738**

WHEREAS, Epimmune Inc., a Delaware corporation of 5820 Nancy Ridge Drive, Suite 100, San Diego, CA 92121 hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment  
Attorney Docket No.: 018623-006250US  
Page 2

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: \_\_\_\_\_

ALESSANDRO SETTE

WITNESS

WITNESS

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: \_\_\_\_\_

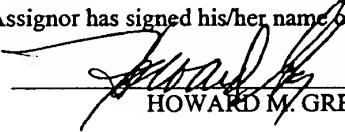
FEDERICO GAETA

WITNESS

WITNESS

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: 9/9/01

  
HOWARD M. GREY

WITNESS

WITNESS

Assignment  
Attorney Docket No.: 018623-006250US  
Page 3

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: \_\_\_\_\_  
\_\_\_\_\_  
JOHN SIDNEY

WITNESS \_\_\_\_\_ WITNESS \_\_\_\_\_

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: \_\_\_\_\_  
\_\_\_\_\_  
JEFFERY L. ALEXANDER

WITNESS \_\_\_\_\_ WITNESS \_\_\_\_\_

SF 1203767 v1